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Environmental management — Guidelines for establishing good practices for combating land degradation and desertification —

Part 1: Good practices framework

Titre manque

ICS: 13.020.01

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113 Foreword

114 ISO (the International Organization for Standardization) is a worldwide federation of national standards
115 bodies (ISO member bodies). The work of preparing International Standards is normally carried out through
116 ISO technical committees. Each member body interested in a subject for which a technical committee has
117 been established has the right to be represented on that committee. International organizations,
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119 with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

120 The procedures used to develop this document and those intended for its further maintenance are described
121 in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types
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129 constitute an endorsement.

130 For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment,
131 as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT)
132 see the following URL: [Foreword - Supplementary information](#)

133 The committee responsible for this document is Technical Committee ISO/TC 207, *Environmental*
134 *management, Combat of land degradation and desertification*.

135 ISO 14055 consists of the following parts,

136 ISO 14055-1 Guidelines for establishing good practices for combating land degradation and desertification
137 - Part 1 Good practices framework

138 ISO 14055-2 Guidelines for establishing good practices for combating land degradation and desertification
139 — Part 2: Regional case study examples

140 The purpose of ISO 14055-1 is to provide guidelines for developing good practices to combat land
141 degradation and desertification in arid and non-arid regions. ISO 14055-2 provides regional case studies
142 illustrating application of the Part 1 framework to a range of land degradation cases.

143 Introduction

144 Land degradation and desertification are fundamental and persistent problems that have long been
145 recognised. They are caused by climate variability (e.g. drought and floods), and unsustainable human
146 activities, such as over-cultivation, overgrazing, deforestation, over extraction of water and inappropriate
147 irrigation practices. These activities can lead to loss of vegetation and biodiversity, declining water supply
148 and water quality, soil erosion, loss of soil fertility and soil structure, and soil. The consequences in the
149 medium to long term are loss of agricultural and economic productivity, loss of soil health and function and
150 loss of ecosystem services, including biodiversity loss, and adverse social impacts.

151 Land degradation is estimated to affect up to 20% of the world's drylands (Millennium Ecosystem
152 Assessment, 2005), and 25% of cropland, pasture, forests and woodlands globally (FAO, 2011). In addition,
153 one third of the earth's population, i.e. 2 billion people, are potential victims of the increasing effects of
154 desertification (UNEP, 2007). Land degradation is both a significant driver of climate change through
155 emissions of carbon dioxide to the atmosphere and change in surface characteristics affecting solar
156 reflectance (albedo) and is predicted to be exacerbated by climate change. Degradation and desertification
157 greatly reduce ecosystem resilience to climate change.

158 Land degradation affects productivity, and impacts directly on the livelihood and health and, in extreme
159 cases, causes loss of life. Societies suffer from decreased access to adequate supplies of clean water,
160 deterioration in air quality, threats to food security and declining economic status. These effects can be felt
161 at all scales from the local to the global and all people but especially the poor and the vulnerable.

162 Recognising the significance of land degradation leading to desertification in dryland areas, the United
163 Nations Convention to Combat Desertification (UNCCD) was developed to combat desertification and
164 mitigate the effects of drought in dryland regions, particularly in sub-Saharan Africa. The UNCCD
165 recognises desertification as a social and economic issue as much as an environmental concern. Therefore,
166 it has a major focus on fighting poverty and promoting sustainable development in areas at risk of
167 desertification. Parties to the UNCCD agreed to implement national, regional and sub-regional action
168 programmes, and to seek to address causes of land degradation, such as unsustainable land management.
169 This part of ISO 14055 is intended to complement and support the activities of the UNCCD by providing
170 guidance to land managers on the establishment of good management practices that, when implemented,
171 will reduce the risk of land degradation and desertification and assist in rehabilitation of lands affected by
172 degradation. Land managers expected to benefit from the standard include and users, technical experts,
173 private and public organisations, and policy makers involved in the management of land resources for
174 ecological, productivity, economic or social objectives.

175 The purpose of ISO 14055-1 is to provide guidelines for developing good practices to combat land
176 degradation and desertification in arid and non-arid regions. The scope of ISO 14055-1 seeks to extend the
177 guidance of UNCCD to non-arid lands and provides a framework for the identification of good practices in
178 land management relevant to all land types and climate zones, and for monitoring and reporting the
179 implementation of good practices at the local level. ISO 14055-1 refers to actions or interventions
180 undertaken with the purpose of preventing or minimising degradation of land or, where land is already
181 degraded, to recovery of degraded land to improved productivity and ecosystem health.

182 ISO 14055-1 seeks to provide a flexible approach to the implementation of good practices to combat land
183 degradation and desertification by allowing for different types and scales of activities so that the guidance
184 in this part of ISO 14055 can be applied to all activities and be relevant to public and private use. It aims to
185 be applicable to the range of geographical, climatic, cultural and other circumstances. Figure 1 illustrates
186 the relationship between the guidelines for developing good practices presented under this part of
187 ISO 14055 with Environmental Management Systems and Good Practice programs as they apply to land
188 management. Annex C provides a list of relevant references for sources of additional information on good
189 practices for combating land degradation and desertification.

190 Combating land degradation is critical to achieving sustainable development and sustainability and hence
 191 good practices programs must seek to attain a balance between environmental, social and economic
 192 goals. These goals are interdependent and should be mutually reinforcing. For example, the capacity of
 193 individual land managers and communities to implement good practices for combating land degradation
 194 can be limited by immediate needs of poverty and hunger. Conversely, combating land degradation will
 195 contribute to greater socio-economic as well as environmental resilience¹.

196 ¹ISO 14055-1 has referenced ISO Guide 82 in addressing sustainability in developing guidance for good
 197 practices to combat land degradation and desertification.

198 Provision of guidance on establishing good practices for managing land degradation and desertification
 199 benefits both land users and the wider community and can assist in increasing their resilience to climate
 200 change. It can also complement government policies to combat land degradation and desertification and
 201 contribute to objectives of parties to the UNCCD.

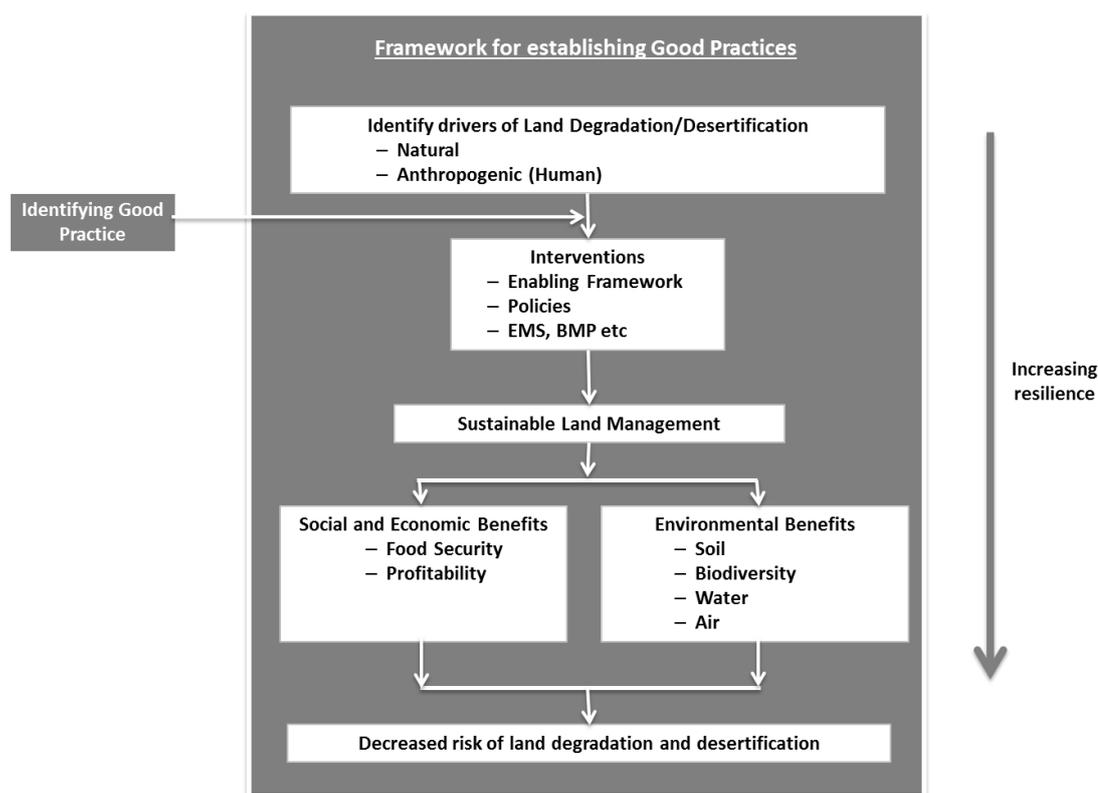


Figure 1 – Framework for establishing good practices for combating land degradation and desertification.

(EMS: Environmental Management System; BMP: Best Management Practice)

Environmental management - Guidelines for establishing good practices for combating land degradation and desertification - Part 1 - Good practices framework

1 Scope

ISO 14055-1 provides guidelines for establishing good practices in land management to prevent or minimize land degradation and desertification. It does not include management of coastal wetlands.

ISO 14055-1 defines a framework for identifying good practices in land management, based on assessment of the drivers of land degradation and risks associated with current and past practices. Guidance on monitoring and reporting implementation of good practices is also provided.

ISO 14055-1 is intended for use by private and public sector organizations with responsibility for land management and will allow an organization to communicate implementation of good practices.

2 Normative references

There are no normative references.

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply. Annex A describes the methodology used to develop the terms and definition vocabulary.

3.1 General terms related to combating land degradation and desertification

3.1.1

ecosystem

dynamic complex of plant, animal and micro-organism communities and their non-living environment interacting as a functional unit

[SOURCE: Convention on Biological Diversity - CBD, Article 2.]

3.1.1.1

ecosystem services

ecosystem services are the benefits people obtain from ecosystems. These include provisioning services such as food and water; regulating services such as flood and disease control; cultural services such as spiritual, recreational, and cultural benefits; and supporting services, such as nutrient cycling, that maintain the conditions for life on Earth.

[SOURCE : United Nations Environment Programme - UNEP]

3.1.1.2

agroecosystem

a biological and natural resource system managed by humans for the primary purpose of producing food as well as other socially valuable non-food goods and environmental services

240 [SOURCE: International Food Policy Research Institute - IFPRI and World Resources Institute - WRI study
241 on "Pilot Analysis of Global Ecosystems"]

242 3.1.2

243 environment

244 surroundings in which an organization operates including air, water, land, natural resources, flora, fauna,
245 humans and their interrelationships

246

247 Note 1 to entry: Surroundings can extend from within an organization to the local, regional and global system.

248

249 Note 2 to entry: Surroundings can be described in terms of biodiversity, ecosystems, climate or other characteristics.

250

251 [SOURCE: ISO 14001:2015 – 3.2.1]

252

253 3.1.2.1

254 sustainability

255 goal of sustainable development which encompasses environmental, social and economic aspects, in
256 which the needs of the present are met without compromising the ability of future generations to meet
257 their needs

258 Note 1 to entry: Environmental, social and economic aspects interact and are interdependent. They are referred to
259 as the three pillars of sustainability.

260 Note 2 to entry: Sustainability is a comparative concept, not a state or absolute value.

261 [SOURCE: ISO FDIS 13065]

262 3.1.2.2

263 bio-productive capacity

264 the capacity of ecosystems to produce biological materials and to absorb waste materials

265

266 Note 1 to entry: Alternative terms for bio-productive capacity are bio capacity and biological capacity

267

268 [SOURCE: Adapted from Global Footprint Network]

269 <http://www.footprintnetwork.org/en/index.php/GFN/page/glossary>

270 3.1.3

271 biodiversity

272 variability among living organisms from all sources including, among others, terrestrial, marine and other
273 aquatic ecosystems and the ecological complexes of which they are part

274 Note 1 to entry: Biodiversity includes diversity within species, between species and of ecosystems.

275 [SOURCE: Convention on Biological Diversity - CBD, Article 2.]

276 3.1.4

277 habitat

278 place or type of site where an organism or population naturally occurs

279 [SOURCE: Convention on Biological Diversity - CBD, Article 2.]

280 3.1.5

281 capacity building

282 specifically, capacity building encompasses the country's human, scientific, technological, organizational,
283 institutional and resource capabilities

284 [SOURCE: Agenda 21, Chapter 37, United Nations Conference on Environment and Development -
285 UNCED, 1992]

286 **3.1.6**
287 **off-site impact**
288 impact on the environment that occurs away from the site of the immediate intervention on an ecosystem

289 EXAMPLE: Sediment loads into coastal marine systems due to land degradation at the source of a stream
290 or river.

291 Note 1 to entry: The concept of off-site effect may also be used to describe impacts in the future of a current intervention.

292 [SOURCE: ISO/TC 207/WG9, 2015]

293 **3.1.7**
294 **environmental degradation**
295 the deterioration in environmental quality from ambient concentrations of pollutants and other activities and
296 processes such as improper land use and natural disasters

297 Note 1 to entry: environmental degradation frequently involves overexploitation of resources generated by an
298 ecosystem.

299 [SOURCE: Organization for Economic Co-operation and Development - OECD]

300

301 **3.1.8**
302 **forest**
303 forest land spanning more than 0.5 hectares with trees higher than 5 meters and a canopy cover of more
304 than 10 percent, or trees able to reach these thresholds in situ, not including land that is predominantly
305 under agricultural or urban land use

306 NOTE: Forest can be (further) defined in legislation, where definitions can differ between countries. In case no legal
307 definition for forest applies, the further detailing by the Food and Agriculture Organization of the United Nations (FAO)
308 (see source) applies. According to FAO stands in agricultural production systems, such as fruit tree plantations, oil
309 palm plantations and agroforestry systems when crops are grown under tree cover, are excluded.

310 [SOURCE: Food and Agriculture Organization of the United Nations – FRA 2015 – Terms and Definitions
311 – Forest Resources Assessment Working Paper 180]

312 **3.1.9**
313 **deforestation**
314 direct human-induced conversion of forest land to non-forest land

315 [SOURCE: United Nations Framework Convention on Climate Change - UNFCCC, 2006]

316 **3.1.10**
317 **desert**
318 dry, barren and often sandy region with sparse vegetation that receives less than 250 mm of sporadic
319 rainfall annually

320 [SOURCE: Adapted from Commission for Controlling the Desert Locust in the Central Region - CRC, FAO,
321 2009, TRG (A16.22) / KCCM, FAO, 2009]

322 **3.1.11**
323 **salinization**
324 soil degradation brought about by the increase of salts in the soil

325 Note 1 to entry: is also known as salination.

326 [SOURCE: Food and Agriculture Organization of the United Nations - FAO, 1994]

327 **3.1.12**

328 **drought**

329 naturally occurring phenomenon that exists when precipitation has been significantly below normal recorded
330 levels, causing serious hydrological imbalances that adversely affect land resource production systems

331 Note 1 to entry: Droughts may be worse due to climatic changes as result of human unsustainable activities.

332 [SOURCE: United Nations Convention to Combat Desertification - UNCCD]

333 **3.1.13**

334 **desertification**

335 land degradation in arid, semi-arid and dry sub-humid areas resulting from various factors, including climatic
336 variations and human activities

337 Note 1 to entry: Land is degraded when it can no longer support the same plant growth it had in the past, and the
338 change is permanent on a human time scale.

339 [SOURCE: United Nations Convention to Combat Desertification - UNCCD, Article 1a.]

340 **3.1.14**

341 **arid, semi-arid and dry sub-humid areas**

342 means areas, other than polar and sub-polar regions, in which the ratio of annual precipitation to potential
343 evapotranspiration falls within the range from 0.05 to 0.65

344
345 [SOURCE: United Nations Convention to Combat Desertification - UNCCD, Article 1g.]

346

347 **3.2 Terms related to land**

348 **3.2.1**

349 **land**

350 terrestrial bio-productive system

351 Note 1 to entry: The bio-productive system comprises soil, plant cover, other biota, and the ecological and hydrological
352 processes that operate within the system.

353 [SOURCE: Adapted from United Nations Convention to Combat Desertification/Land Degradation and
354 Desertification (UNCCD/LDD), 1994.]

355 **3.2.1.1**

356 **rangeland**

357 grassland and open woodland suitable for grazing

358 Note 1 to entry: Rangeland includes land on which the indigenous vegetation is predominately grasses, forbs (small
359 species of flowering plants) and shrubs and is managed as a natural ecosystem.

360 [SOURCE: Adapted from United Nations Convention to Combat Desertification/Land Degradation and
361 Desertification (UNCCD/LDD), 1994]

362 **3.2.1.2**

363 **wetland**

364 land inundated with water, whether natural or artificial, permanent or temporary, that is static or flowing,
365 brackish or salt

366 Note 1 to entry: Examples of wetlands included in this standard are marsh, fen, peatland and pans.

367 [SOURCE: Adapted from Ramsar Convention on Wetlands, Art. 1]

368 **3.2.1.3**

369 **arable land**

370 land under temporary agricultural crop, temporary meadows for mowing or pasture, land under market and
371 kitchen gardens and land temporarily fallow

372 [SOURCE: Adapted from FAOSTAT, Food and Agriculture Organization of the United Nations Glossary]

373 **3.2.1.4**

374 **peatland**

375 wetlands with a thick water-logged organic soil layer (peat) made up of dead and decaying plant material.
376 Peatlands include moors, bogs, mires, peat swamp forests and permafrost tundra

377 [SOURCE: Wetlands International]

378 **3.2.1.5**

379 **grazing management plan**

380 strategy that a land manager uses to ensure that rangeland is being used sustainably

381 [SOURCE: Sustainable Ranch Management Assessment Guidebook, 2011]

382 **3.2.1.6**

383 **grazing pressure**

384 the demand/supply ratio between dry matter requirements of livestock and the quantity of forage available
385 in a pasture at a specific time

386 [SOURCE: The National Drought Mitigation centre, USA, 2015]

387 <http://drought.unl.edu/>

388

389 **3.2.2**

390 **soil organic matter**

391 carbon-containing material in the soil that is derived from living organisms

392 [SOURCE: Environmental Indicators for Agriculture – Vol. 3: Methods and Results, OECD, 2001, glossary,
393 pages 389-391]

394 **3.2.3**

395 **soil quality**

396 capacity of a soil to function, sustain plant and animal productivity, maintain or enhance water and air quality,
397 and support human health

398

399 [SOURCE: Adapted from United States Department of Agriculture - USDA]

400

401 **3.2.4**

402 **soil fertility**

403 the quality of a soil that enables it to provide nutrients in adequate amounts and in proper balance for the
404 growth of specified plants or crops

405

406 [SOURCE: Glossary of Soil Science Terms, Soil Science Society of America]

407 **3.2.5**408 **soil structure**

409 the combination or arrangement of primary soil particles into secondary units or peds

410 [SOURCE: FAO - Soil science society of America]

411 **3.2.6**412 **crust**413 surface layer of the soil, ranging in thickness from a few millimetres to a few centimetres, which is much
414 more compact than the material beneath

415

416 Note 1 to entry: Soil crusting is also associated with biological and chemical factors.

417 Note 2 to entry: A biological crust is a living community of lichen, cyanobacteria, algae, and moss growing on the soil
418 surface that bind the soil together.

419 Note 3 to entry: A precipitated, chemical crust can develop on soils with high salt content.

420 [SOURCE: Adapted from Bulletin 69, FAO, 1993]

421

422 **3.2.7**423 **land degradation**424 any form of deterioration of the natural potential of land that affects ecosystem integrity either in terms of
425 reducing its sustainable ecological productivity or in terms of its native biological richness and maintenance
426 of resilience427 Note 1 to entry: FAO describes land degradation as having a wider scope than both soil erosion and soil degradation,
428 covering all negative changes in the capacity of the ecosystem to provide goods and services (including biological and
429 water related goods and services)

430 [SOURCE: Global Environment Facility – GEF]

431 **3.2.8**432 **soil erosion**433 wearing away of fields top soil by the natural physical forces of water and wind or through forces associated
434 with anthropogenic activities such as farming or clearing of vegetation435 Note 1 to entry: Soil erosion can be a slow process that continues relatively unnoticed or at an alarming rate causing
436 serious loss of top soil

437 [SOURCE: Adapted and Modified from OMAFRA Factsheet, Soil Erosion – Causes and Effects, 2012]

438

439 **3.2.9**440 **soil degradation**441 a change in the soil health status resulting in a diminished capacity of the ecosystem to provide goods and
442 services

443

444 [SOURCE: Adapted from Food and Agriculture Organization of the United Nations - FAO]

445

446 **3.2.10**447 **land management**

448 the process of managing the use and development of land resources

449

450 [SOURCE: FAO Land Tenure Manuals, No 2, FAO, 2006]

451

452 **3.2.10.1**

453 **good practice**

454 a practice that has been proven to work well and produce good results, and is therefore recommend as a
455 model. It is a successful experience, which has been tested and validated, in the broad sense, which has
456 been repeated and deserves to be shared so that a greater number of people can adopt it

457 [SOURCE: Good practices template, July 2015. Food and Agriculture Organization of the United Nations -
458 FAO]

459

460 **3.2.10.2**

461 **risk assessment**

462 overall process of risk identification, risk analysis and risk evaluation

463 [SOURCE: Adapted from ISO Guide 73:2009, definition 3.4.1]

464 **3.2.10.3**

465 **sustainable development**

466 development that meets the needs of the present without compromising the ability of future generations to
467 meet their own needs

468 [SOURCE: World Commission on Environment and Development, 1987]

469 **3.2.10.4**

470 **sustainable use**

471 use of resources such that they are not harvested beyond the natural replenishment capacity of the
472 ecosystem

473 Note 1 to entry: Sustainable use of a particular resource is at a rate that will not impair the ability of future generation
474 to meet their needs

475 [SOURCE: Adapted from Australian Institute of Marine Science.]

476

477 **3.2.10.5**

478 **interested party**

479 person or organization that can affect, be affected by, or perceive itself to be affected by a decision or
480 activity

481 Example: Customers, communities, suppliers, regulators, non-governmental organizations, investors and employees.

482 Note 1 to entry: To “perceive itself to be affected” means the perception has been made known to the organization.

483

484 [SOURCE: ISO 14001:2015, 3.1.6]

485

486

487 **3.2.11**

488 **water table**

489 upper surface of groundwater, below which soil is saturated with water

490 Note 1 to entry: Water fills all voids and interstices where the pressure of water in the soil equals the atmospheric
491 pressure

492 [SOURCE: Adapted from <http://www.businessdictionary.com>]

493 **3.2.12**
494 **watershed**

495 area of land where all of the water that is under it or drains off of it goes into the same place

496 Note 1 to entry: Catchment is another term for watershed

497 [SOURCE: United Nations Environmental Protection Agency - water.epa.gov]

498

499 **4 Principles**

500 **4.1 General**

501 The following principles are the basis for the guidance in this part of ISO 14055 and for its application.

502 The overall objective of the guidance provided in ISO 14055-1 is to develop good practices programs for
503 combating land degradation and desertification to maintain or improve productivity, biodiversity and other
504 ecosystem services and aid sustainable land management. Respect for the principles set out in this clause
505 will assist in developing and implementing good practices that is consistent with the needs of interested
506 parties and their economic, social, cultural and spiritual values for the land on which they live.

507 **4.2 Sustainable development**

508 Good practices for combating land degradation and desertification contribute to sustainable development
509 by balancing economic, social, and environmental development within the limits of the earth's natural
510 resources and seeking to manage land for productivity and ecosystem services while avoiding burden
511 shifting to other regions or future generations.

512 **4.3 Transparency**

513 In developing good practices for combating land degradation and desertification there is a need for openness
514 about decisions and activities that affect society, the economy and the environment and willingness to
515 communicate these in a clear, accurate, timely, honest and complete manner to its interested parties to
516 make decisions on use of the good practices with reasonable confidence

517 **4.4 Social responsibility**

518 In developing good practices for land management to combat land degradation and desertification, it is
519 important to take account of the impacts of decisions and actions on society and the environment through
520 behaviour that recognises:

- 521 — the rights of land users to derive economic benefit and food security from their land.
- 522 — the expectations of interested parties e.g. land managers, small scale farmers and indigenous
523 communities
- 524 — environmental sustainability of the ecosystem
- 525 — applicable law and consistent with relevant international agreements.

526 A good practices framework for prevention or minimization of land degradation should be developed in
 527 consultation with interested parties and should be responsive to the views and needs of all participants,
 528 including indigenous peoples, local communities and vulnerable groups. Participation in developing a good
 529 practices framework should be encouraged.

530 Adapted from ISO 26000

531 **4.5 Partnership**

532 Good practices should allow opportunities for interested parties to cooperate in partnerships to enhance
 533 their efforts in combating land degradation and desertification

534 **4.6 Scientifically based preferences**

535 **4.6.1 Scientifically based**

536 When making decisions on good practices to combat land degradation and desertification, preference
 537 should be given to knowledge based on natural science (physics, chemistry, biology) and social and
 538 economic sciences. Examples of applications of natural science include, but are not restricted to, remote
 539 sensing, direct measurement of physical and chemical properties of soils, water resources, and ecosystem
 540 characteristics (See A.2.1).

541 **4.6.2 Second preference**

542 If scientific evidence is not available, reference may be made to expert opinion and traditional land
 543 management knowledge, relevant and valid within the geographical scope of the land being considered.
 544 Bringing together traditional or local knowledge with scientific understanding in “hybrid knowledge” can
 545 provide strength in addressing sustainable development issues.

546 **4.6.3 Third preference**

547 Decisions on good practices for combating land degradation and desertification based on value choices,
 548 should only be used if neither a scientific basis exists nor a justification based on other scientific approaches
 549 or international conventions is possible, and disclosed.

550 NOTE Value-choices in good practices for combating land degradation and desertification can relate to selection
 551 of data sources, land management practices, and other elements of establishing good practices.

552 **4.7 Good governance**

553 Good practices should take into consideration good governance including:

- 554 • taking account of availability of resources (human and economic) for implementing good practices
 555 to combat land degradation and desertification;
- 556 • making provision for measuring, monitoring and reporting on good practices implementation;
- 557 • developing a mechanism for review of implementation of good practices and recommendations for
 558 improvement; and
- 559 • ensuring accountability and transparency.

560 **4.8 Alignment with national, regional and international initiatives**

561 Good practices for combating land degradation and desertification should be aligned with national , regional
 562 and international initiatives guidance and frameworks.

4.9 Respect for human rights

In developing good practices for land management recognition of both the importance and the universality of human rights should be taken into consideration. Examples would include, but are not restricted to:

- The rights of indigenous people, vulnerable groups and local communities.
- The rights of people to continue to derive a livelihood from the land they occupy.

5 Identification of good practices to combat land degradation and desertification

5.1 General

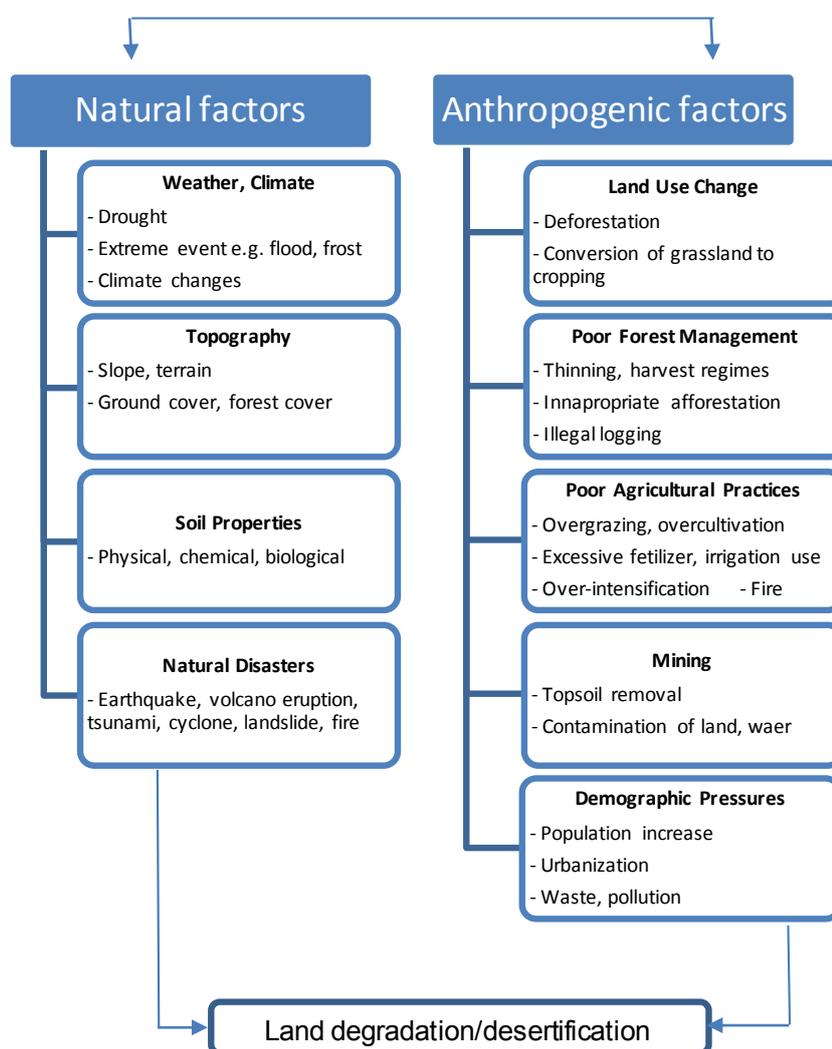
Those in public and private sector organizations with responsibility for land management and for implementing good practices for combating land degradation and desertification should take information provided in this section into account for identifying good practices. This will ensure that these are effective, practical and consistent with sustainable development.

5.2 Drivers of land degradation and desertification

5.2.1 Overview of natural and anthropogenic factors affecting the function of ecosystems

The following diagram illustrates major natural factors and human activities that affect ecosystem function in a way that could lead to land degradation and desertification. Factors contributing to land degradation and desertification are highly variable and it is not intended to include all contributing factors in the range of ecosystems and circumstances subject to land degradation and desertification.

Factors affecting ecosystem function or structure



596 Figure 2 — Examples of major natural and anthropogenic factors that may lead to land degradation and
597 desertification.

599 5.2.2 Examples of natural drivers of land degradation and desertification

600 5.2.2.1 General

601 Identification of natural drivers of land degradation requires observations and understanding of landscape
602 characteristics that increase the vulnerability to loss of productivity and ecosystem functionality when
603 combined with human activities. The following examples illustrate some natural drivers of land degradation
604 and desertification but contributing factors vary between regions and circumstances.

605 NOTE Annex A provides more information on natural factors that contribute to land degradation and desertification.

606 5.2.2.2 Weather and climate

607 Variability in weather, climate, and extreme weather events are underlying drivers of land degradation and
608 desertification. Elements to be considered in developing good practices to reduce the risk of land
609 degradation and desertification include the risks of droughts, floods and extreme rainfall events, high solar
610 radiation, extreme temperatures and wind. The threat of land degradation is likely to be exacerbated by
611 climate change due to increasing variability and more frequent and severe extreme events.

612 5.2.2.3 Topography

613 Slope and terrain affect run-off and the permeability of the soil and can increase vulnerability of soils to
 614 water erosion. Examples of degradation influenced by slope and terrain include landslides, loss of fertile
 615 topsoil and soil organic matter, run-off of nutrients and agricultural chemicals and gullying on hill slopes.

616 5.2.2.4 Soil properties

617 Soil physical, chemical and biological characteristics including poor soil structure compaction, low organic
 618 matter, high salt content, reduced microbial activity affect vulnerability to degradation processes including
 619 erosion, loss of structure, poor infiltration rate, water retention capacity and nutrient run-down.

620 5.2.2.5 Natural disasters

621 Natural disasters such as wildfires, earthquakes, volcanoes, tsunamis and cyclones can result in changes
 622 to soil and vegetation characteristics of ecosystems and lead to biodiversity loss, habitat loss and decline
 623 in productivity and other forms of land degradation.

624

625 5.2.3 Examples of anthropogenic factors that contribute to land degradation and desertification

626 5.2.3.1 General

627 Identification of anthropogenic drivers of land degradation and desertification requires understanding of the
 628 impacts of human activities in combination with natural factors that increase the vulnerability of land to loss
 629 of productivity and ecosystem function.

630 NOTE Annex B provides more information on anthropogenic factors that contribute to land degradation and
 631 desertification.

632 5.2.3.2 Land use change

633 Land use change, including deforestation for agriculture or urban expansion and infrastructure, and
 634 conversion of permanent pastures to cropping may lead to loss of aboveground and belowground
 635 biodiversity, increase in invasive species, loss of soil carbon, decline in soil health, change in landscape
 636 hydrology and vulnerability to erosion.

637 5.2.3.3 Unsustainable forest management

638
 639 Unsustainable forest management practices or afforestation that does not take into consideration suitability
 640 to local conditions and communities can result in loss of biodiversity, changes in catchment hydrology,
 641 increased risk of wildfire, changes in natural fire regimes, increased risk of water or wind erosion following
 642 harvest and long-term negative socio-economic outcomes.

643

644 5.2.3.4 Unsustainable agricultural practices

645 Agricultural practices that are unsustainable and contribute to land degradation and desertification includes:

646 — over-cultivation, which can cause decline in soil structure, depletion of nutrients, loss of soil carbon
 647 and decline in water holding capacity;

648 — overstocking and overgrazing by domestic livestock, especially in arid and semi-arid rangelands
 649 and during drought or dry periods, can result in loss of ground cover, vulnerability to erosion and
 650 loss of natural perennial species;

651 — inappropriate agricultural practices in both irrigated and dryland farming areas where there is risk
 652 of that can lead to a build-up of salts in the soil and lead to decline in chemical and physical
 653 properties and loss of soil microbial activity;

654 — excessive use of chemical fertilisers on crops or pasture can result in nutrient run-off and leaching,
 655 causing eutrophication and loss of water quality in freshwater or coastal systems, and emissions of
 656 nitrous oxide, which is a strong greenhouse gas; and

657 — over-intensification of agriculture and inappropriate farming systems can lead to decline in soil
 658 nutrients and soil health and increases in organic deposition and nutrient concentrations in adjacent
 659 water sources.

660 NOTE Thresholds for defining overgrazing, over-cultivation and risk of will vary regionally and with local conditions.

661 **5.2.3.5 Mining and other industrial activities**

662 Mining and other industrial activities may lead to land degradation due to alteration of soil structure through
 663 excavation, removal of top soil and aggregates, and dumping of mined soil and contaminated waste. This
 664 may lead to the loss of soil fertility, loss of biodiversity and contamination of ground and surface water.

665 **5.2.3.6 Demographic pressures**

666 Demographic pressures, including infrastructure development and urbanisation, contribute to land
 667 degradation through loss of arable lands, changes in hydrology and impacts of human waste and pollution.
 668 These pressures will increase with increasing population and competition for land resources and may result
 669 in further encroachment on natural ecosystems, forests and agricultural lands.

670 **5.2.3.7 Unsustainable energy consumption**

671 Use of firewood, crop residues and cow dung as an energy source in a way, which reduces vegetation and
 672 forest cover, and affects the rainfall patterns will contribute to land degradation

673 **5.3 Forms of land degradation**

674 **5.3.1 Soil erosion**

675 **5.3.1.1 Soil erosion by water**

676 Types of water erosion include:

677

678 — Surface wash or sheet/inter-rill erosion removes topsoil with loss of nutrients and soil organic
 679 matter, soil fertility and decrease in infiltration capacity of the soil and accelerated runoff.

680 — Gully erosion or gulying results in the development of deep incisions, down to the subsoil, due to
 681 concentrated runoff.

682 — Landslides, mudflows or mass movements of soil occur locally but often cause serious damage.

683 — Riverbank erosion occurs with lateral erosion of rivers cutting into riverbanks.

684 Offsite degradation effects of water erosion include deposition of sediments and nutrients, downstream
 685 flooding, siltation of reservoirs, waterways and lagoons, and pollution of water bodies with eroded sediments.

686 **5.3.1.2 Soil erosion by wind**

687 Loss of topsoil by wind action is most common in arid and semi-arid climates, but may also occur in regions
 688 that are more humid. Wind erosion is nearly always preceded by a decrease in the vegetative cover of the
 689 soil.

690 Offsite degradation caused by wind erosion include covering of terrain with windborne particles from distant
691 sources, which may contain contaminants from mining activities e.g. mining dust, asbestos.

692 NOTE In arid and semi-arid climates, natural wind erosion is often difficult to distinguish from human-induced wind
693 erosion; natural wind erosion is often exacerbated by human activities, such as cultivation or overgrazing.

694

695 **5.3.2 Deterioration of soil chemical properties**

696 **5.3.2.1 Fertility decline**

697 Fertility decline and reduced organic matter content may occur due to “soil mining” when nutrient removals
698 through harvesting, burning, leaching, etc. are not sufficiently compensated by inputs of nutrients and
699 organic matter through addition of manure, crop residues and other organic amendments, chemical
700 fertilizers, or via flooding. This type of degradation may also include nutrient oxidation and volatilisation.

701 **5.3.2.2 Acidification**

702 Acidification is a process of decrease in soil pH over time. It can occur under natural conditions over
703 thousands of years in high rainfall areas but rapid acidification can occur under intensive agricultural
704 practices.

705

706 Practices that accelerate acidification include applying ammonium-based nitrogen fertilisers to naturally acid
707 soils at rates in excess of plant requirements, leaching of nitrate nitrogen from ammonium-based fertilizers
708 out of the root zone, and continual removal of plant and animal produce and waste products from fields.
709

710 **5.3.2.3 Salinization and alkalinization**

711 Salinization is due to a net increase of the salts content.

712 Salinization is the accumulation of salts in soil and water to levels that affect human and natural assets (e.g.
713 plants, animals, aquatic ecosystems, water supplies, agriculture and infrastructure). Dryland salinity occurs
714 where salt in the landscape is mobilised and redistributed closer to the soil surface and/or into waterways
715 by rising groundwater, which may be caused by removal of deep-rooted trees, shrubs and grasses, and
716 replacing with shallow-rooted species. Irrigation salinity occurs due to increased rates of leakage and
717 groundwater recharge causing the water table to rise bringing salts into the plant root zone.

718

719 Alkalinisation occurs when a net increase in exchangeable sodium takes place.

720

721 The main human cause of alkinization of soils is the use of surface or ground water for irrigation that
722 contains relatively high proportions of sodium bicarbonates and less calcium and magnesium. Alkaline soils
723 have low agricultural productivity due to the low infiltration capacity and frequent waterlogging.
724

725 **5.3.2.4 Soil pollution**

726 Soil pollution may occur due to contamination of the soil with toxic materials from local (e.g. waste dumps,
727 untreated industrial discharges) or diffuse sources (atmospheric deposition).

728

729 **5.3.3 Deterioration of soil physical properties**

730

731 **5.3.3.1 Compaction**

732 Compaction due to trampling or the weight and/or frequent use of machinery causes deterioration of the
733 soil structure and changes of the proportion of sizes or loss amount of pores and consequently, infiltration
734 rate and water holding capacity.

735 **5.3.3.2 Sealing and crusting**

736 Sealing or crusting is the clogging of pores with fine soil material and development of a thin impervious layer
737 at the soil surface obstructing the infiltration of rainwater.

738 **5.3.3.3 Waterlogging**

739 Waterlogging occurs when the soil pores are filled with water, limiting availability of oxygen to plant roots
740 and microorganisms, and affecting soil chemical processes.

741 **5.3.3.4 Subsidence**

742 Subsidence or downward movement of soils may occur naturally, for example if caves collapse, or as a
743 result of activities such as mining. Subsidence of organic soils may occur due to drainage of peatlands or
744 low-lying heavy soils.

745 **5.3.4 Degradation of soil biological properties**

746 Loss of bio-productive capacity of soils may occur due to activities such as excessive use of agrochemicals,
747 construction and mining that decrease soil microbial biomass and potential for agricultural productivity.

748 **5.3.5 Degradation of soil water properties**749 **5.3.5.1 Aridification**

750 Aridification (long-term drying) which is often measured as a reduction of average soil moisture content may
751 be caused by changes in water balance, lowering of water tables or reduced ground cover resulting in
752 reduced agricultural production, soil degradation, ecosystem changes and decreased water catchment
753 runoff.

754 **5.3.5.2 Change in surface water quantity or quality**

755 Change in the quantity of surface water such as altered flow regimes, drying up of rivers and lakes or decline
756 of surface water quality due to increased sediments and pollutants in fresh water bodies affect ecosystem
757 function and agricultural productivity.

758 **5.3.5.3 Change in groundwater level or quality**

759 Change in groundwater and aquifer level such as lowering of groundwater table due to over-exploitation or
760 reduced recharge of groundwater, or increase of groundwater table, e.g. due to excessive irrigation
761 waterlogging and/or or decline in ground water quality due to pollutants infiltrating into the aquifers change
762 water cycling and recharge of surface water.

763 **5.3.5.4 Wetland area buffering capacity**

764 Reduction of the buffering capacity of wetland areas decreases the resilience of ecosystems to flooding,
765 run-off, droughts and pollution.

766 **5.3.6 Degradation of ecosystem structure and biodiversity**767 **5.3.6.1 Reduction of vegetation cover**

768 Reduction in vegetative cover to establish settlements or for agricultural use decreases habitats and
769 biodiversity and increases the area of bare or unprotected soil with potential for erosion.

770 **5.3.6.2 Loss of habitats**

771 Loss of natural habitats occurs due to decreasing vegetation diversity in forest and agricultural lands and
772 results in a decline in biodiversity.

773 **5.3.6.3 Decline in biomass**

774 Replacement of forest after clear felling with secondary forest of reduced productivity results in lower
775 biomass often with reduction in species diversity and reduced carbon stocks on that land.

776 **5.3.6.4 Change in fire regimes**

777 Wildfires or prescribed burning affect forest (e.g. by slash and burn), bush, grazing and cropland (e.g. by
778 burning of residues) can result in decline in aboveground biodiversity through loss of native species,
779 including palatable perennial grasses, spread of invasive species and diseases and decline in belowground
780 species including earthworms and termites and microorganisms such as bacteria and fungi.

781 **6 Guidelines for establishing good practices and monitoring their implementation**782 **6.1 Objectives for good practices to combat land degradation and desertification**783 **6.1.1 General**

784 When developing a framework for identification of good practices the objectives should be clearly defined
785 to ensure that efforts to combat land degradation and desertification will be practical and effective and will
786 avoid the risk of adverse effects on sustainability locally or in other regions.

787 **6.1.2 Objectives to consider when developing good practices**788 **6.1.2.1 Maintain or improve productivity**

789 Good practices should prevent further loss of productivity and improve yields in land that is already
790 degraded. Long-term food productivity and future food security are threatened by soil degradation, loss of
791 prime agricultural land to non-farm uses and other elements of land degradation.

792 NOTE Approximately 16 per cent of global agricultural land already has reduced yields due to degradation (World
793 Meteorological Organization – WMO, 2005).

794 **6.1.2.2 Decrease vulnerability to climate variability**

795 A framework for identifying good practices should consider ways to increase resilience of ecosystems and
796 communities to climate variability, especially drought and extreme weather events that are recognized as
797 major factors contributing to land degradation. Land degradation and desertification may increase
798 vulnerability of ecosystems to climate extremes thus exacerbating the risk of further degradation.

799 **6.1.2.3 Increase resilience to climate change**

800 A framework for identifying good practices to combat land degradation should consider ways to increase
801 resilience to the impacts of climate change and to mitigate the threat of future climate change. Climate
802 change will add an additional pressure on natural and managed ecosystems, including agricultural, forestry
803 and protected areas, that is predicted to exacerbate the risk of land degradation. Combating land
804 degradation and desertification will contribute to climate change mitigation by decreasing carbon dioxide
805 emissions because of loss of biogenic carbon.

806 **6.1.2.4 Maintain or improve ecosystem services**

807 A framework for identifying good practices should recognise ecological functionality in different ecosystems,
808 value ecosystem services and protect or restore vulnerable natural and managed ecosystems. Land
809 degradation and desertification cause a decline in the many goods and services provided by ecosystems
810 including cultural values, productivity for food and fibre, carbon sequestration potential, air quality and
811 hydrological function.

812 **6.2 Identifying applicable good practices**

813 **6.2.1 Identify regionally relevant land degradation and desertification drivers**

814 Identify natural and anthropogenic drivers contributing to land degradation and desertification affecting the
815 region (see Clause 4). These drivers may be associated with past, current or potential natural factors and
816 human activities.

817 **6.2.2. Identifying legality and restrictions in the land use**

818 **6.2.2.1 Legal requirements and land use restrictions**

819 Identify legal requirements and land use restrictions applicable to the land use as appropriate, according to
820 each national and local area

821 **6.2.2.2 Non legal land use measures**

822 Set internal performance criteria e.g. limitations on the amount of sediments discharged to rivers flowing
823 into sensitive ecosystems, fisheries or reservoirs for human water supply and restricting human activities
824 within a certain range of a watershed.

825 **6.2.3 Criteria for good practices to combat land degradation and desertification**

826 **6.2.3.1 Basis for good practices**

827 Using the principles set out in Clause 5, good practices should be developed to address drivers of land
828 degradation and desertification identified in 5.2. These good practices should act to minimize the risk of
829 land degradation and desertification and assist recovery of any existing land degradation by contributing to
830 measures that are sustainable and maintain or improve the productive potential of the land, ecosystem
831 structure and function and the quality of ecosystem services.

832 **6.2.3.2 Criteria for good practices**

833 Good practices should act to combat land degradation and desertification by:

- 834 — Preserving and increasing the productive characteristics and qualities of the soil;
- 835 — Conserving biodiversity within natural ecosystems, agricultural lands, and plantation forests
836 including protecting endangered species;
- 837 — Conserving the integrity of waterways, watersheds and the quality of water;
- 838 — Managing the impacts of anthropogenic activities such as mining or urbanisation.

839 **6.3 Framework for planning and implementation of good practices**

840 A framework for implementing good practices to combat land degradation and desertification consists of a
841 cycle of action through:

- 842 a) Develop a good practices action plan
- 843 b) Implement the good practices action plan
- 844 c) Monitor the impact of the action plan
- 845 d) Periodically review the results and iteratively refine the action plan
- 846
- 847

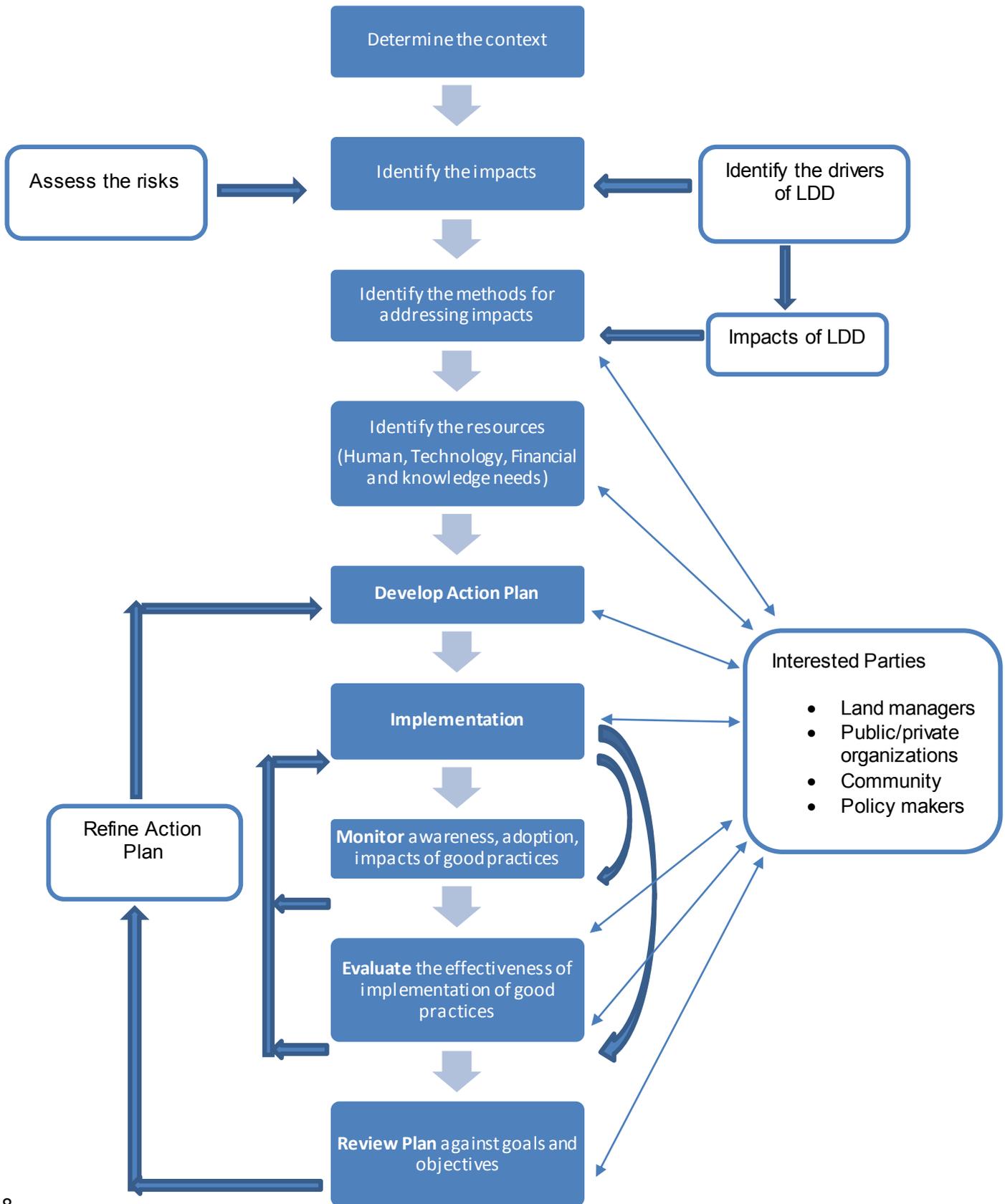


Figure 3 – Development of a good practices Action Plan

6.3.1 Developing a good practices action plan

- 1) Determine the context in which the land degradation has occurred/may occur, including the needs and expectations of the relevant interested parties;
- 2) Identify the land degradation problem which may be related to past or current practices;
- 3) Identify the drivers of land degradation including the impact of past/current practices;
- 4) Assess the risk of future land degradation under projected changes, i.g. climate change, demographic change;
- 5) Assess the impact of the degradation on sustainability and the interested parties;
- 6) Identify methods to address the significant impacts, including good practices based on the situation and location; method selection should include cost-benefit analysis;
- 7) Identify what is to be monitored and the monitoring cycle necessary to determine the effectiveness of the methods selected;
- 8) Identify the resources needed and those actually available;
- 9) Develop an action plan to implement the methods and monitor the results within the resources available.

6.3.2 Implementing the action plan for good practices

6.3.2.1 General

Implementation of the action plan for good practices to combat land degradation and desertification should include active and ongoing cooperation between interested parties at all levels of responsibility.

6.3.2.2 Interested parties with regional responsibility

Those with regional responsibility should:

- Communicate good practices to land users and other stakeholders
- Encourage development of partnerships and collaborative activities
- Monitor availability of economic and human resources
- Where necessary, provide appropriate training and capacity building

6.3.2.3 Interested parties with responsibility for local land use

Those with direct responsibility for local land use should:

- Implement good practices appropriate to their land based on the plan
- Work cooperatively with other land users and local community within the region

881 **6.3.3 Monitoring the impact of the implementation of action plan**

882 **6.3.3.1 General**

883 The awareness and adoption of good practices can normally be measured within a shorter period than
 884 outcomes of the actions e.g. a decrease in the extent or severity of land degradation or desertification.
 885 Some outcomes of the implementation of good practices may take considerable time (e.g. decades) before
 886 being evident or fully effective. The outcomes may also result in changes off-site. Awareness and
 887 implementation of good practices and changes in land condition should be monitored.

888 **6.3.3.2 Monitoring awareness and adoption of good practices**

889 Relevant techniques for monitoring awareness and implementation of good practices may include:

- 890 — Land holder surveys across the region
- 891 — Verbal reporting
- 892 — Other discussions or records as part of regular on-going communication

893 **6.3.3.3 Monitoring impacts of implementation of good practices for land degradation**

894 Data should be collected at suitable and practical time intervals to monitor land, soil, water and biodiversity
 895 condition indicators appropriate to the local circumstances. Indicators of land degradation and
 896 desertification should be applicable to the local conditions. Where available indicators of land degradation
 897 defined by authoritative organisations such as UNCCD should be used and may be appended to this part
 898 of ISO 14055. Guidance for indicators of land degradation in non-arid lands may also be developed from
 899 these indicators or from other appropriate authoritative sources. Monitoring techniques may include:

- 900 — Direct reporting by land users.
- 901 — Use of remote sensing data for land cover e.g. using aerial or satellite imaging.
- 902 — Direct monitoring and measurement of indicators such as sediment loads or forest density.
- 903 — Review of critical incidents such as chemical spillages or landslides.

904 NOTE For forms of land degradation, see 5.3.

905 **6.3.4 Reviewing and refining the good practices action plan**

906 Based on the findings of the monitoring and measurement programme, the suitability, adequacy and
 907 effectiveness of the good practices should be evaluated as part of continual improvement. The programme
 908 of periodic review should be appropriate to the objectives, regulatory requirements and regional
 909 circumstances e.g. annual review. The results of the review should form the basis of iterative improvement
 910 in the good practices action plan and its implementation, noting that improvements in land condition may
 911 take several years to become apparent and that available resources may change over time.

912 **6.4 Communication**

913 Communication should include the exchange of information relevant to the interested parties and purpose.
 914 Characteristics of an effective communication strategy include:

- 915 — Direct communication with local groups and other relevant interested parties, e.g. workshops,
 916 drama/plays, media

- 917 — Appropriate language and methods of communication e.g. face to face meetings
- 918 — Ensuring opportunities for informal two way conversations
- 919 — Utilizing existing networks
- 920 — Timeliness
- 921 — Inclusiveness by ensuring all interested parties are consulted
- 922 — Reinforcement of messages
- 923 — Maintaining contact and being responsive
- 924 — Providing opportunities for sharing of experiences and lessons learnt to build collective
925 knowledge
- 926 — Gathering and responding to feedback
- 927 — Recording outcomes of consultation
- 928 — Publication in reports or technical journals
- 929

Annex A (informative)

Natural factors contributing to land degradation

A1 General

A range of natural factors can make landscapes more vulnerable to degradation and when combined with human activities this risk can result in varying degrees of land degradation and desertification from which recovery is very slow or impossible. Climate and extreme weather events exerts a strong influence over vegetation type, biomass and diversity. Precipitation and temperature determine the potential distribution of terrestrial vegetation and constitute the principal factors in the genesis and evolution of soil. Precipitation also influences vegetation production. In wet climates, precipitation supports growth of rich forests but if these are cleared, intense precipitation can lead to landslides and water erosion. In dryer environments, precipitation controls the spatial and temporal use of land for grazing and when precipitation is very low favours the nomadic lifestyle.

Vegetation cover becomes progressively thinner and less continuous with decreasing annual rainfall. Dryland plants and animals display a variety of physiological, anatomical and behavioural adaptations to moisture and temperature stresses brought about by large diurnal and seasonal variations in temperature, rainfall and soil moisture. The generally high temperatures and low precipitation in the dry lands lead to poor organic matter production and rapid oxidation. Low organic matter leads to poor aggregation and low aggregate stability leading to a high potential for wind erosion. The severity, frequency, and extent of erosion are likely to be altered by changes in rainfall amount and intensity and changes in wind.

Changes in vegetation cover are likely to result from short-term changes in weather and climate change. In turn, this will likely affect SOM dynamics and vulnerability to erosion. Volcanic eruptions can cause local land degradation due to the deposition of lava and change in surface soil structure, permeability, and damage to vegetation. In the longer term, volcanic soils can become highly fertile.

A.2 Weather and climatic factors

A.2.1 Climate variability and change

Weather and climate are underlying drivers of land degradation, in both arid/ semi-arid regions and non-arid regions. Climatic stresses include high soil temperature, seasonal excess water, short duration low temperatures, seasonal moisture stress and extended moisture stress. Drought is a natural event originating from a deficiency of precipitation that result in a water shortage for some activities or groups. It is the consequence of a reduction in the amount of precipitation over an extended period of time, usually a season or more in length, often associated with other climatic factors - such as high temperatures, high winds and low relative humidity - that can aggravate the severity of the event. Flooding resulting from high seasonal rainfall is also a major driver of land degradation, especially in combination with topographical features such as slope and poor soil structure. Elements to be considered in developing good practices to reduce the risk of land degradation and desertification include intense or extreme rainfall events, floods, droughts, dust (storms, hazards e.g. health, transport effects), ecological productivity brought about by changes in climatic and weather factors such as temperature, rainfall, wind speed, solar radiation, evaporation and environmental change including climate change.

Changes in weather and climate factors, in particular temperature and rainfall has profound impacts on the bio-functionality of land and/or soil quality. These are manifested by changes during the cropping season (planting date), frost incidence (cold spells), reduced soil microbial activity, reducing vegetation cover, limited water resources as well as changes in land-use practices (e.g. conversion of lands into other uses) and depletion of soil nutrients. For instance, in drylands, a shift in the onset of planting dates due to climate

975 change has been reported. Erosional features due to loss of biomass and vegetative cover are a
 976 consequence of erratic and highly variable rainfall. Similarly, changes in the river flow regime will impact on
 977 potential stream sediment loading and the availability of water for irrigation. Ecosystems integrity and
 978 services are therefore negatively impacted leading to overall loss in environmental quality.

979 **A.2.2 Extreme rainfall events**

980 Rainfall events that can generally give rise to serious episodic events (e.g. overland flow and runoff) involve
 981 intensity of precipitation; duration of precipitation; the wetness of the ground and the response of the rainfall
 982 catchment. Runoff water losses will result in limited water availability to plants.

983 **A.2.2.1 Drought**

984 Higher evapotranspiration and more sunshine hours (solar radiation) increase the occurrence/prevalence
 985 of droughts in many ecological settings. For example, highly variable and seasonal precipitation and dry
 986 spells bring about limited water resources to sustain crops, with subsequent effects on poverty and reduced
 987 fibre production and consequently the livelihoods of most communities.

988 **A.2.2.2 Floods and storms**

989 Floods emanate from intense rainfall events, whereby the soil infiltration capacity is exceeded. Land use
 990 change which denudes cover may lead to soil surface crusting, poor soil structure and hence less infiltration.
 991 Floods can lead to water erosion, landslides, damage of property, infrastructure and general well-being.
 992 Some floods are associated with storms like hurricanes, sea rises and other extreme events. Globally, such
 993 events are predicted to increase with climate change. It is not only human security that is impacted, but
 994 living conditions in low lands subject to periodic flooding are also adversely affected. In drylands, the shift
 995 to intense rainstorms from generally well-spread rains is causing degradation in terms of direct loss of
 996 agricultural production as well as moisture stress and crop failures. Health related challenges exist e.g.,
 997 fever, malaria and other related water borne diseases; as well as provision of adequate sanitation.

998 **A.2.3 Extreme temperature events**

999 **A.2.3.1 Heat waves**

1000 Heatwaves are predicted to increase globally due to the effects of climate variability and change (IPCC
 1001 2007), as well as urbanisation e.g. urban heat islands. The confined urban setting has a lot of waste energy
 1002 in the form of heat; as well as the closing of the atmospheric windows by greenhouse gases, lead to a net
 1003 heating of the globe. Some of the indicators of climate change are temperature increase, with adverse
 1004 impacts on people, their living environment, biodiversity as well as water resources use. Heatwaves have
 1005 led to several deaths, especially of the elderly. In addition, heatwaves put a huge pressure on electricity
 1006 consumption (hence has high carbon output). Heatwaves, as one of the extreme events under climate
 1007 change, pose challenges in many spheres of life. High temperatures negatively affect daily livelihood
 1008 activities (e.g. productivity decline due to inability to work under extreme day temperatures). This then leads
 1009 to food insecurity and decreased livelihood assets such as low yields, poverty, malnutrition, diseases

1010 **A.2.3.2 Frosts and cold spells**

1011 Cold spells generally caused by weather systems typified by cold air masses, which may occur especially
 1012 during the winter season. These cold air masses negatively affect ecosystem goods and services; For
 1013 example, frost heaves affects agricultural productivity leading to crop failure and mortality of livestock in
 1014 particular their young ones (e.g. calves, lambs, kids). In the Polar and Arctic regions, extreme cold can lead
 1015 to loss of human life. Similarly, in some cold regions provision of services (e.g. frozen water pipes, transport)
 1016 is retarded. In colder climates, cold spells leads to increased cost of maintenance of daily living cost and
 1017 provision of services (e.g. increased use of energy consumption, clearing snow from roads. The cold spells
 1018 in some regions leads to most people homeless and often fatalities due to hypothermia disease.

1019 **A3 Topography**

1020 Slope is a major driver of land degradation where land management practices for agriculture, urban
 1021 development and mining disturb natural ecosystem stability, particularly in high rainfall regions (World Bank
 1022 2008). Good forest management practices can protect against land degradation through land slippage or
 1023 landslides on steep slopes. Retaining forest ecosystems on slopes can also play a key role in adaptation
 1024 to climate change through their greater stability and resilience to extreme weather events such as heavy
 1025 rainfall. For example, landslides occur most frequently in areas of steep slopes, deep highly erodible soils,
 1026 weathered and jointed bedrock, usually after periods of intense and prolonged rainfall. Earthquakes can
 1027 trigger them. In addition to deforestation and removal of vegetation cover, undercutting during infrastructure
 1028 development and the weight of large buildings can increase the risk of landslides.
 1029

1030 **A4 Soil properties**

1031 The vulnerability of soil to degradation, including erosion, depends on physical, chemical and biological
 1032 properties, including:

- 1033 a) Soil physical properties
- 1034 — Soil texture.
 - 1035 — Soil structure.
 - 1036 — Water holding capacity
 - 1037 — Soil bulk density and porosity
 - 1038 — Permeability and hydraulic conductivity
 - 1039 — Drainage properties
 - 1040 — Electrical conductivity
- 1041 b) Soil Chemical properties
- 1042 — Soil fertility (nutrients)
 - 1043 — Cation exchange capacity
 - 1044 — Soil acidity
 - 1045 — Soil Salinity
 - 1046 — Soil alkalinity
- 1047 c) Soil biological properties
- 1048 — Soil microorganisms
 - 1049 — Soil flora and fauna
 - 1050 — Soil organic matter (soil carbon)

1051 — Ground cover

1052 **A.5 Natural disasters**

1053 **A.5.1 Wildfires**

1054 Wildfires, which may be caused naturally or by humans, with impacts on natural environments and losses to
 1055 both property and lives. For example, the burning veldt is direct loss of vegetation, with implications on land
 1056 use and resources availability. These directly contribute to loss and damage, land use change and forestry.
 1057 Fires tend to occur in seasonally distinct climates, e.g. wet followed by dry, resulting in aerosols (smoke)
 1058 which may be chemically loaded (pollution). The meteorological conditions and vegetation type/state (e.g.
 1059 fuel load) may contribute to the intensity of the fires, which then influences nutrient and population dynamics
 1060 and the resulting ecosystem.

1061 **A.5.2 Volcanic eruptions**

1062 Volcanoes are perforations in the earth's crust through which molten rock and gases escape to the surface.
 1063 Volcanic hazards originated from two classes of eruptions namely explosive and effusive.

1064 Explosive eruptions originate in the rapid dissolution and expansion of gas from the molten rock as it nears
 1065 the earth's surface. Explosions pose a risk by scattering rock blocks, fragments, and lava at varying
 1066 distances from the source.

1067 Effusive eruptions refer to material flow rather than explosions are the major hazard. Flows vary in nature
 1068 (mud, ash, lava) and quantity and may originate from multiple sources. Flows are governed by gravity,
 1069 surrounding topography, and material viscosity.

1070 Hazards associated with volcanic eruptions include lava flows, falling ash and projectiles, mudflows, and
 1071 toxic gases. Volcanic activity may also trigger other natural hazardous events including local tsunamis,
 1072 deformation of the landscape; floods when lakes, streams and rivers are dammed, and create landslides.

1073

Annex B (informative)

Anthropogenic factors contributing to land degradation

B.1 General

Urbanisation, infrastructure development such as roads and replacement of vegetation with hard surfaces and buildings, and agriculture all affect the resilience of landscapes and ecosystems to degradation. Agriculture occupies more land area than any other land use and has caused varying degrees of land degradation problems in many regions of the world. The change of land use from forest, savannah or grassland ecosystems has changed the integrity and functioning of ecosystems. Agriculture has brought removal of vegetation, tillage and cultivation, burning, the introduction of new plant and animal species, and the excessive use of agrochemicals to the ecosystems. In arid and semi-arid regions, natural factors (See Annex B) often make the regions vulnerable to degradation when human activities disturb the fine balance in ecosystems. In regions that are more productive, farming systems focused on developing high yielding varieties of crops, intensive tillage, and use of chemical pesticides and herbicides have caused problems including:

- Excessive disturbance through mechanical tillage
- Declining stocks of soil carbon
- Degradation of soil biological health and soil microbial populations
- Reduced soil moisture storage
- Overreliance on mineral fertilisers
- Poor water infiltration and increased runoff
- Compaction and poorly developed root systems
- Unsustainable levels of nutrient loss.

Interaction between natural and anthropogenic drivers of land degradation is complex, involving biophysical, social and economic factors. Poorer people, for whom food production is often an immediate need, are likely to be farming the steeper land, shallower soils, less fertile soils and in areas of lower rainfall (World Bank 2003). These fragile environments are more susceptible to land degradation and the poorer people have less capacity to adopt long-term sustainable land management practices and can be caught in a cycle of land degradation, poverty and decreasing food security (ODG 2006).

B.2 Land Use Change

Loss of forest cover commonly results in loss of other native plants and animals and consequently degradation of the health and integrity of regional ecosystems with downstream effects on ecosystem services, e.g. in the situation where forests along waterways are removed. The amount of forest cleared per year since 2000 remains high at 13 million ha per year on average with the net area of primary forests having decreased by 40 million ha since 2000 as the pressure for land other uses increases (FRA 2010). The main driver of forest loss globally is expansion of agricultural land, which occurs from small-scale farmers to large multinational companies. Other drivers of deforestation include expansion of infrastructure and mining. Deforestation can have broad environmental impacts, including increase of greenhouse gas emissions, loss of biodiversity, soil erosion and hydrology changes. Conversion of natural grasslands or permanent pasture to cultivation also results in a net loss of soil organic carbon in rangelands.

1115 **B.2.1 Deforestation**

1116 Loss of forestland leads to exposure of soil to agents of land degradation such as surface run off that could
 1117 lead to soil and nutrient loss. Deforestation results in a net loss of terrestrial carbon stocks to the atmosphere
 1118 as carbon dioxide and soil carbon stocks. A particular case is the clearing of forests and draining of
 1119 peatlands affecting the large stocks of carbon and increasing greenhouse gas emissions directly and
 1120 indirectly through increase in wildfires.

1121 **B.3 Poor Forest management**

1122 Incorrect choice of plant species for afforestation could lead to ecosystem imbalance such as ground water
 1123 mining by deep-rooted trees and loss in habitat of certain flora and fauna. Improper schedule of felling and
 1124 poor management of resulting litter may lead to unsustainable production. Forest degradation often has
 1125 driving forces related to land use and management, including unsustainable and illegal logging, over-
 1126 harvest of fuel wood and non-timber forest products, overgrazing, human- induced fires, and poor
 1127 management of shifting cultivation. In contrast, bush encroachment resulting from abandonment of over-
 1128 used land leads to re-colonisation of an area by less desirable plant species. Increase in invasive species
 1129 that compete with native species can result in depletion of soil nutrients and loss of biodiversity.

1130 **B.4 Poor Agricultural Practices**1131 **B.4.1 Overgrazing**

1132 Overgrazing removes the vegetation cover that protects the soil and acts to bind soil aggregates to conserve
 1133 moisture. Thus, overgrazing predisposes soil to wind and water as agents of erosion. The combination of
 1134 overgrazing and a variable climate increases the risks of land degradation, particularly in arid and semi-arid
 1135 regions. However, climate variability also makes it difficult to define good practices such as managing a
 1136 safe carrying capacity for livestock, because of the dependency of pasture growth on drought and other
 1137 climate factors. When droughts affect livelihoods, the options available to poor farmers and herders for
 1138 adoption of more good land management practices, such as conservative stocking, is limited and over-
 1139 grazing is more likely to cause degradation at a time when the landscape is most vulnerable.

1140 **B.4.2 Over cultivation**

1141 The area of crop production globally is 0.23ha/person but in low-income countries is 0.17ha/person, in
 1142 middle-income countries 0.23ha/person and in high-income countries 0.37ha/person (FAO 2011). The
 1143 pressure on land is higher in the poor income countries even though they are more dependent on the land
 1144 for the food security. High production and more intense land use can cause environmental degradation
 1145 through loss of biodiversity, soil erosion and pollution of the ecosystems including water resources. The
 1146 practice of frequently cultivating and growing crops more rapidly than the soil can recuperate, leading to a
 1147 decline in soil quality and productivity. Ploughing is frequently considered necessary to loosen the soil to
 1148 improve aeration and infiltration through it, yet all too often the effect is just the reverse. The weight of the
 1149 tractors causes the soil to become compact thus reducing water infiltration, which makes the soil more
 1150 susceptible to erosion. In turn, nutrient decline is a potentially serious outcome from soil erosion, and
 1151 although this can be overcome by adding in nutrients, this can be expensive and requires good land
 1152 management practices.

1153
 1154 A cycle can be developed in crop production where there is already poor quality of soil (possibly already
 1155 degraded by over-cultivation), resulting in diminishing access to land and water. There is then, pressure to
 1156 intensify use of soils with low fertility or expansion of land use onto soils with poor quality, which degrades
 1157 the soil and water resources and sets up a reinforcing cycle of degradation.

1158 **B.4.3**

1159 Poor irrigation practices lead to accumulation of salts in the root zone thus causing toxicity and deprivation
 1160 of soil moisture to plants. Poor land drainage can lead to ground water table encroachment into the root
 1161 zone.

1162 **B.4.4 Burning biomass**

1163 Burning in slash-and-burn agriculture may lead to uncontrolled veld fires. Practices such as prescribed fires
 1164 for control of pest and weeds in rangeland can lead to wild fire. Wildfires directly affect upland ecosystem
 1165 services through damage caused to the vegetation, peat and soils, which results in loss of valuable habitat
 1166 and associated wildlife alongside carbon release.

1167 **B.5 Mining**

1168 Mining is a profitable business and it creates employment opportunities. It benefits everyone including the
 1169 government and that is why the mining industry is widely supported. However, there are several negative
 1170 effects of mining for the environment.

1171 Exploration, mine development and dumping of barren waste degrade habitats of flora and fauna and
 1172 prohibit alternative land use such as forestry, agriculture or leisure. Pollution from heavy metals or reagents
 1173 used in mining operations is a land degradation risk for significant areas, particularly in developing countries.
 1174 Mining also leads to depletion and degradation of surface water, ground water and aquifers due to drilling.

1175 Mining and its following activities have been found to degrade the land to a substantial extent. Overburden
 1176 removal from the mine area results in a very significant loss of rain forest and the rich top soil. Overburden
 1177 removal is normally done by the process of blasting or using excavators, which results in generation of large
 1178 volume of waste (soil, debris and other material). This is impractical for the industry and is normally just
 1179 stored in large piles within the mine area, and occasionally, on public land. The bigger the scale of the mine,
 1180 greater is the quantum of waste generated. Opencast mines are therefore more pollution intensive as they
 1181 generate much higher quantities of waste compared to the underground.

1182
 1183 Though most mining wastes, such as overburden, are inert solid materials, the industry also generates
 1184 waste that is toxic in nature. Some of these toxic are inherently present in the ore, for example, heavy
 1185 metals such as mercury, arsenic, lead, zinc, cadmium, etc. These heavy metals leach out of the stored
 1186 waste piles, contaminating the local environment. However, some toxic chemicals are also found in waste,
 1187 as they are added purposely during extraction and processing.
 1188

1189 **B.6 Demographic changes**

1190 Demographic trends such as population growth and urban expansion into better quality lands and socio-
 1191 economic circumstances that result in growing demand for food and fibre can increase the pressure towards
 1192 unsustainable land management. Population pressures exacerbate the risk of climate change in increasing
 1193 the vulnerability of landscapes to climate change, both directly through changes in weather patterns and
 1194 extreme events, and indirectly through limiting alternative food supplies for vulnerable peoples. Increase in
 1195 population leads to over exploitation of natural resources such as water, flora and fauna and soil. Pollution
 1196 also may also results from the livelihood activities of population. Inappropriate agriculture and human
 1197 settlement policies and inappropriate implementation of well-formulated policies lead to land degradation.
 1198 War, refugee and nomadic population dynamics can lead to local dense populations leading to over-
 1199 exploitation of vulnerable ecosystems.

1200

1201

Annex C
(informative)
Key sources of additional relevant information

1202
1203
1204

1205 **C.1 International conventions and guidance**

1206 United Nations Convention to Combat Desertification – UNCCD Dryland Champions Program - UNCCD
1207 National Action Plans of UNCCD Parties
1208

1209 **C.2 Examples of national guidance and documents**

1210 National standard /guidelines from China

1211 National standard/guidelines from Egypt

1212 NOTE Examples of national standards for countries are illustrative only and do not reflect the relative value over
1213 other country standards

1214

1215 **C.3 Reports and technical papers**

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